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Method for the genetic modification of organisms of the
Blakeslea genus, corresponding organisms and use of the
same

- 5 The invention relates to a method for the genetic modification of organisms of the Blakeslea genus, to corresponding organisms and to the use of the same.

Thus, for example, Blakeslea trispora is used as a
10 producer organism for β -carotene (Ciegler, 1965, Adv Appl Microbiol. 7:1) and lycopene (EP 1201762, EP 1184464, WO 03/038064). In addition, Blakeslea is suitable for producing other lipophilic substances such as, for example, other carotenoids and their
15 precursors, phospholipids, triacylglycerides, steroids, waxes, fat-soluble vitamins, provitamins and cofactors or for producing hydrophilic substances such as, for example, proteins, amino acids, nucleotides and water-soluble vitamins, provitamins and cofactors.

20

High productivities for β -carotene and lycopene render Blakeslea, in particular Blakeslea trispora, attractive for economic fermentative production of carotenoids and their precursors.

25

However, it is also of interest to further increase the productivities of carotenes and their precursors which have previously been produced naturally and to enable further carotenoids such as, for example, xanthophylls
30 to be produced which have been produced by and isolated from Blakeslea only to a very low extent, if at all, previously.

Carotenoids are added to feedstuffs, foodstuffs, food supplements, cosmetics and medicaments. Carotenoids are used especially as pigments for coloring. Aside from
5 this, the antioxidative action of carotenoids and other properties of these substances are utilized. The carotenoids are divided into the pure hydrocarbons, the carotenes and the oxygen-containing hydrocarbons, the xanthophylls. Xanthophylls such as canthaxanthin and
10 astaxanthin are employed, for example, in the pigmentation of hens' eggs and fish (Britton et al. 1998, Carotinoids, Vol. 3, Biosynthesis and Metabolism). The carotenes β -carotene and lycopene are employed especially in human nutrition. β -Carotene, for
15 example, is used as a colorant for beverages. Lycopene has disease-preventing action (Argwal and Rao, 2000, CMAJ 163:739-744; Rao and Argwal 1999, Nutrition Research 19:305-323). The colorless carotenoid precursor phytoene is especially suitable for
20 applications as antioxidant.

Most of the carotenoids and their precursors which are employed as additives in the abovementioned applications are prepared by chemical synthesis. Said
25 chemical synthesis is multistage, very complicated and causes high production costs. In contrast, fermentative processes are comparatively simple and based on inexpensive starting materials. Fermentative processes to produce carotenoids may be economically attractive
30 and capable of competing with chemical synthesis, if the productivity of previous fermentative processes were increased or new carotenoids were able to be prepared on the basis of the known producer organisms.

A method for the genetic modification of *Blakeslea trispora* is required, in particular, if the intention is to utilize *Blakeslea* for producing xanthophylls, since these compounds are not synthesized naturally by *Blakeslea*.

Various DNA sequences of *Blakeslea trispora* are known already, in particular the DNA sequence coding for the genes of carotenoid biosynthesis from geranylgeranyl pyrophosphate to β -carotene (WO 03/027293).

Thus far, however, no methods for the genetically engineered modification of *Blakeslea*, in particular *Blakeslea trispora*, are known.

A method for the production of genetically modified fungi which has been successfully employed in some cases is *Agrobacterium*-mediated transformation. Thus, for example, the following organisms have been transformed by *agrobacteria*: *Saccharomyces cerevisiae* (Bundock et al., 1995, EMBO Journal, 14:3206-3214), *Aspergillus awamori*, *Aspergillus nidulans*, *Aspergillus niger*, *Colletotrichum gloeosporioides*, *Fusarium solani* pisi, *Neurospora crassa*, *Trichoderma reesei*, *Pleurotus ostreatus*, *Fusarium graminearum* (van der Toorren et al., 1997, EP 870835), *Agraricus bisporus*, *Fusarium venenatum* (de Groot et al., 1998, Nature Biotechnol. 16:839-842), *Mycosphaerella graminicola* (Zwiers et al. 2001, Curr. Genet. 39:388-393), *Glarea lozoyensis* (Zhang et al., 2003, Mol. Gen. Genomics 268:645-655),

Mucor miehei (Monfort et al. 2003, FEMS Microbiology Lett. 244:101 - 106).

Of particular interest is a homologous recombination which involves as many sequence homologies as possible between the DNA to be introduced and the cellular DNA, so that it is possible to introduce or eliminate site-specifically genetic information in the genome of the recipient organism. Otherwise, the donor DNA will be integrated into the genome of the recipient organism by illegitimate or nonhomologous recombination which is not site-specific.

Agrobacterium-mediated transformation and subsequent homologous recombination of the transferred DNA have been detected previously for the following organisms: *Aspergillus awamori* (Gouka et al. 1999, Nature Biotech 17:598-601), *Glarea lozoyensis* (Zhang et al., 2003, Mol. Gen. Genomics 268:645-655), *Mycosphaerella graminicola* ((Zwiers et al. 2001, Curr. Genet. 39:388-393).

Another known method for transforming fungi is electroporation. Hill, Nucl. Acids. Res. 17:8011 has shown the integrative transformation of yeast by electroporation. Transformation of filamentous fungi has been described by Chakaborty and Kapoor (1990, Nucl. Acids. Res. 18:6737).

A "biolistic" method, i.e. the transfer of DNA by bombardment of cells with DNA-loaded particles, has been described, for example, for *Trichoderma harzianum*

and *Gliocladium virens* (Lorito et al. 1993, Curr. Genet. 24:349-356).

However, it has not been possible previously to
5 successfully employ these methods for specific genetic modification of *Blakeslea* and in particular *Blakeslea trispora*.

A particular difficulty in producing specifically
10 genetically modified *Blakeslea* and *Blakeslea trispora* is the fact that their cells are multinuclear at all stages of the sexual and vegetative cell cycles. For example, spores of the *Blakeslea trispora* strains NRRL2456 and NRRL2457 were found to have an average of
15 4.5 nuclei per spore (Metha and Cerdá-Olmedo, 1995, Appl. Microbiol. Biotechnol. 42:836-838). As a consequence of this, the genetic modification is usually present only in one or a few nuclei, i.e. the cells are heterokaryotic.

20 If the genetically modified *Blakeslea* species, in particular *Blakeslea trispora*, are intended to be used for production, it is important, in particular in the case of gene deletion, that the genetic modification is
25 present in all nuclei of the producer strains so as to make possible a stable and high synthetic performance without byproducts. The strains must consequently be homokaryotic with respect to said genetic modification.

30 A method of generating homokaryotic cells has been described only for *Phycomyces blakesleeanus* (Roncero et al., 1984, Mutat. Res. 125:195). According to the method described there, nuclei are eliminated in the cells by adding the mutagenic agent MNNG (N-methyl-N'-

nitro-N-nitrosoguanidine) so as to obtain statistically a certain number of cells with only one functional nucleus. The cells are then subjected to a selection in which only mononuclear cells having a recessive
5 selection marker can grow into a mycelium. The progeny of these selected cells are multinuclear and homokaryotic. An example of a recessive selection marker for *Phycomyces blakesleanus* is *dar*. *dar*⁺ strains absorb the toxic riboflavin analog 5-carbon-5-deazariboflavin, unlike *dar*⁻ strains (Delbrück et al.
10 1979, Genetics 92:27). Recessive mutants are selected by adding 5-carbon-5-deazariboflavin (DARF).

However, this method is unknown for *Blakeslea*, in
15 particular *Blakeslea trispora*, and has in particular not been described in relation to a transformation.

It is an object of the present invention to provide a method which enables *Blakeslea* strains, in particular
20 *Blakeslea trispora*, to be genetically modified. In addition, it is an object of the invention to provide a method which allows homokaryotic genetically modified strains to be produced. A further object of the invention is to provide cells which have been
25 genetically modified accordingly.

This object is achieved by a method for producing a genetically modified organism of the *Blakeslea* genus, which method comprises the following steps:

- 30 (i) transformation of at least one of the cells,
- (ii) optional homokaryotic conversion of the cells obtained in step (i) to produce cells in

which one or more genetic characteristics of the nuclei are all modified in an identical manner and said genetic modification manifests itself in the cells, and

- 5 (iii) selection of the genetically modified cell or cells.

The method of the invention enables multinuclear cells of the *Blakeslea* fungi to be genetically modified in a specific and stable manner, in order to obtain in this
10 way mycelium of cells with uniform nuclei. The cells are preferably those of fungi of the *Blakeslea trispora* species.

Transformation means the transfer of genetic
15 information into the organism, in particular fungus. This should include any possible methods known to the skilled worker of introducing said information, in particular DNA, for example bombardment with DNA-loaded particles, transformation using protoplasts,
20 microinjection of DNA, electroporation, conjugation or transformation of competent cells, chemicals or agrobacteria-mediated transformation. Genetic information means a gene section, a gene or a plurality of genes. The genetic information may be introduced
25 into the cells, for example, with the aid of a vector or as free nucleic acid (e.g. DNA, RNA) and in any other manner, and either be incorporated into the host genome by recombination or be present in a free form in the cell. Particular preference is given here to
30 homologous recombination.

The preferred transformation method is the transformation mediated by *Agrobacterium tumefaciens*. To this end, the donor DNA to be transferred is first inserted into a vector which (i) carries the T-DNA ends flanking the DNA to be transferred, (ii) includes a selection marker and (iii) has, if appropriate, promoters and terminators for gene expression of the donor DNA. Said vector is transferred into an *Agrobacterium tumefaciens* strain harboring a Ti plasmid containing the vir genes. vir genes are responsible for DNA transfer in *Blakeslea*. This two-vector system is used for transferring the DNA from *Agrobacterium* into *Blakeslea*. To this end, the *Agrobacteria* are first incubated in the presence of Acetosyringone. Acetosyringone induces the vir genes. Spores of *Blakeslea trispora* are then incubated together with the induced cells of *Agrobacterium tumefaciens* on Acetosyringone-containing medium and thereafter transferred to medium which enables selection of the transformants, i.e. of the genetically modified *Blakeslea* strains.

The term vector is used in the present application to refer to a DNA molecule which is used for introducing foreign DNA into and, if appropriate, propagating said foreign DNA in a cell (see also "vector" in Römpp Lexikon Chemie - CDRom Version 2.0, Stuttgart/New York: Georg Thieme Verlag 1999). In the present application, the term "vector" is intended to include plasmids, cosmids etc. which serve this purpose.

Expression means in the present application the transfer of genetic information, starting from DNA or RNA, to a gene product (here preferably carotenoids),

and is also intended to include the term overexpression, meaning increased expression so as for a product which is already produced in the untransformed cell (wild type) to be increasingly produced or to form a large part of the entire cell content.

Genetic modification means the introduction of genetic information into a recipient organism so that said information is expressed in a stable manner and passed on during cell division. Homokaryotic conversion is then carried out, if appropriate, i.e. the production of cells which comprise only uniform nuclei, i.e. nuclei having the same genetic information content.

This homokaryotic conversion is in particular required if the genetic information introduced by transformation is recessive, i.e. does not manifest itself. However, if transformation results in the presence of dominant genetic information, i.e. if said information manifests itself, homokaryotic conversion is not absolutely necessary.

The homokaryotic conversion preferably comprises selecting the mononuclear spores. A small proportion of the *Blakeslea trispora* spores is by nature mononuclear so that these spores can be sorted out, if appropriate after specific labeling, for example staining, of the cell nuclei. This is preferably carried out using FACS (Fluorescence Activated Cell Sorting), on the basis of the lower fluorescence of the mononuclear cells.

Alternatively, the homokaryotic conversion can be carried out by first reducing the number of nuclei. To

this end, a mutagenic agent may be employed, in particular N-methyl-N'-nitronitrosoguanidine (MNNG). High energy radiation such as UV radiation or X rays may also be used for reducing the number of nuclei. The
5 subsequent selection may be carried out using the FACS method or recessive selection markers.

Selection means the selection of cells whose nuclei include the same genetic information, i.e. cells which
10 have the same properties such as resistances or production or increased production of a product. Preference is given to using for selection, aside from the FACS method, 5-carbon-5-deazariboflavin (darf) and hygromycin (hyg) or 5'-fluororotate (FOA) and uracil.

15

The vector employed in the transformation (i) can be designed so as for the genetic information comprised in said vector to be integrated into the genome of at least one cell. In this connection, genetic information
20 in the cell may be switched off.

The vector employed in the transformation (i) can, however, also be designed in such a way that the genetic information comprised in said vector is
25 expressed in the cell, i.e. genetic information is introduced which is not present in the corresponding wild type or which is increased or overexpressed by said transformation.

30 The vector may comprise any genetic information for genetic modifications of organisms of the *Blakeslea* genus.

"Genetic information" means preferably nucleic acids whose introduction into the organism of the *Blakeslea* genus results in a genetic modification in organisms of the *Blakeslea* genus, i.e., for example, in causing, 5 increasing or reducing enzyme activities in comparison with the starting organism.

The vector may comprise, for example, genetic information for producing lipophilic substances such 10 as, for example, carotenoids and their precursors, phospholipids, triacylglycerides, steroids, waxes, fat-soluble vitamins, provitamins and cofactors or genetic information for producing hydrophilic substances such as, for example, proteins, amino acids, nucleotides and 15 water-soluble vitamins, provitamins and cofactors.

The vector employed preferably comprises genetic information for producing carotenoids or xanthophylls or their precursors. 20

The vector preferably comprises genetic information causing the carotenoid biosynthesis enzymes to be located in the cell compartment in which carotenoid biosynthesis takes place. 25

Particular preference is given to genetic information for producing astaxanthin, zeaxanthin, echinenone, β -cryptoxanthin, andonixanthin, adonirubin, canthaxanthin, 3- and 3'-hydroxyechinenone, lycopene, 30 lutein, β -carotene, phytoene or phytofluene. Very particular preference is given to genetic information for producing phytoene, bixin, lycopene, zeaxanthin, canthaxanthin and astaxanthin.

Accordingly, a preferred variant of the invention comprises producing and culturing organisms having an increased rate of synthesis of carotenoid biosynthesis intermediates and consequently increased productivity for final products of carotenoid biosynthesis. The rate of synthesis of carotenoid biosynthesis intermediates is increased in particular by increasing the activities of the enzymes 3-hydroxy-3-methylglutaryl coenzyme A reductase, isopentenyl pyrophosphate isomerase and geranyl pyrophosphate synthase.

Accordingly, a particularly preferred variant of the invention comprises producing and culturing organisms having an increased HMG-CoA reductase activity compared to the wild type.

HMG-CoA reductase activity means the enzyme activity of an HMG-CoA reductase (3-hydroxy-3-methylglutaryl coenzyme A reductase).

HMG-CoA reductase means a protein which has the enzymic activity of converting 3-hydroxy-3-methylglutaryl coenzyme A to mevalonate.

Accordingly, HMG-CoA reductase activity means the amount of 3-hydroxy-3-methylglutaryl-coenzyme A converted or the amount of mevalonate produced by the protein HMG-CoA reductase within a particular time.

In the case of increased HMG-CoA reductase activity compared with the wild type, thus the protein HMG-CoA reductase increases the amount of 3-hydroxy-3-methylglutaryl coenzyme A converted or the amount of

mevalonate produced within a particular time in comparison with the wild type.

This increase in HMG-CoA reductase activity is preferably at least 5%, more preferably at least 20%, more preferably at least 50%, more preferably at least 100%, more preferably at least 300%, still more preferably at least 500%, in particular at least 600%, of the HMG-CoA reductase activity of the wild type.

10

In a preferred embodiment, the HMG-CoA reductase activity is increased compared to the wild type by increasing gene expression of a nucleic acid encoding an HMG-CoA reductase.

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In a particularly preferred embodiment of the method of the invention, gene expression of a nucleic acid encoding an HMG-CoA reductase is increased by introducing into the organism a nucleic acid construct comprising a nucleic acid encoding an HMG-CoA reductase whose expression in said organism is subject to a reduced regulation, compared with the wild type.

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Reduced regulation compared with the wild type means a reduced, preferably no, regulation at the expression or protein level in comparison with the wild type defined above.

25

Reduced regulation may preferably also be achieved by a promoter which is functionally linked to the coding sequence in the nucleic acid construct and which is subject to a reduced regulation in the organism, compared with the wild type promoter.

30

For example, the promoters ptef1 of *Blakeslea trispora* and pgpdA of *Aspergillus nidulans* are subject only to reduced regulation and are therefore particularly preferred promoters.

5

These promoters exhibit nearly constitutive expression in *Blakeslea trispora* so that transcriptional regulation no longer takes place via the intermediates of carotenoid biosynthesis.

10

In a further preferred embodiment, said reduced regulation can be achieved by using a nucleic acid encoding an HMG-CoA reductase, whose expression in said organism is subject to a reduced regulation, compared
15 with the orthologous nucleic acid intrinsic to said organism.

Particular preference is given to using a nucleic acid which encodes only the catalytic region of HMG-CoA
20 reductase (truncated (t-)HMG-CoA reductase). The membrane domain responsible for regulation is absent. The nucleic acid used is thus subject to reduced regulation and thus results in an increase of gene expression of HMG-CoA reductase.

25

In a particularly preferred embodiment, nucleic acids comprising the sequence SEQ ID. NO. 75 are introduced into *Blakeslea trispora*.

30 Further examples of HMG-CoA reductases and thus also of the t-HMG-CoA reductases reduced to the catalytic region or the encoding genes can readily be found, for example, from various organisms whose genomic sequence

is known by homology comparisons of the sequences from databases with SEQ ID. NO. 75.

Further examples of HMG-CoA reductases and thus also of
5 the t-HMG-CoA reductases reduced to the catalytic
region or the encoding genes can furthermore readily be
found, for example starting from the sequence SEQ ID.
NO. 75, from various organisms whose genomic sequence
is not known, by hybridization and PCR techniques in a
10 manner known per se.

In a particularly preferred embodiment, said reduced
regulation is achieved by using a nucleic acid encoding
an HMG-CoA reductase, whose expression in said organism
15 is subject to a reduced regulation, compared with the
orthologous nucleic acid intrinsic to said organism,
and using a promoter which is subject to a reduced
regulation in said organism, compared with the wild
type promoter.

20

Accordingly, a preferred variant of the invention
comprises the transformation switching off phytoene
desaturase gene expression, thus enabling the phytoene
produced by the organisms to be isolated. The vector
25 employed in the transformation (i) therefore comprises
in one embodiment of the invention preferably a
sequence coding for a fragment of the gene of phytoene
desaturase, in particular *Blakeslea trispora* carB, with
SEQ ID NO: 69.

30

Accordingly, a preferred variant of the invention
comprises lycopene cyclase gene expression being
switched off by transformation, thus enabling the
lycopene produced by the organisms to be isolated. The

vector employed in said transformation therefore comprises in one embodiment of the invention preferably a sequence coding for a fragment of the lycopene cyclase gene, in particular *Blakeslea trisporas carR* (WO 03/027293).

In a further preferred embodiment, the organisms of the *Blakeslea* genus are enabled, for example, to produce xanthophylls such as, for example, zeaxanthin or astaxanthin, by the genetically modified organisms of the *Blakeslea* genus having a hydroxylase activity and/or a ketolase activity, in comparison with the wild type.

Thus, in a further, preferred variant of the invention, the vector employed in the transformation (i) comprises genetic information which, after expression, displays a ketolase and/or hydroxylase activity so that the organisms produce zeaxanthin or astaxanthin.

Ketolase activity means the enzyme activity of a ketolase.

A ketolase means a protein which has the enzymic activity of introducing a keto group at the optionally substituted β -ionone ring of carotenoids.

A ketolase means in particular a protein which has the enzymic activity of converting β -carotene to canthaxanthin.

Accordingly, ketolase activity means the amount of β -carotene converted or the amount of canthaxanthin

produced by the protein ketolase within a particular time.

According to the invention, the term "wild type" means
5 the corresponding genetically unmodified starting organism of the *Blakeslea* genus.

The term "organism" may mean the starting organism (wild type) of the *Blakeslea* genus or a genetically
10 modified organism according to the invention of the *Blakeslea* genus or both, depending on the context.

Preferably "wild type" for causing the ketolase activity and for causing the hydroxylase activity means
15 in each case a reference organism.

This reference organism of the *Blakeslea* genus is *Blakeslea trispora* ATCC 14271 or ATCC 14272 which differ merely with respect to the mating type.
20

The ketolase activity in genetically modified organisms according to the invention of the *Blakeslea* genus and in wild type or reference organisms is preferably determined under the following conditions:
25

The ketolase activity in organisms of the *Blakeslea* genus is determined following the method of Fraser et al., (J. Biol. Chem. 272(10): 6128-6135, 1997). The ketolase activity in extracts is determined using the
30 substrates beta-carotene and canthaxanthin in the presence of lipid (soya lecithin) and detergent (sodium cholate). Substrate-to-product ratios of the ketolase assays are determined by means of HPLC.

In this preferred embodiment, the genetically modified organism according to the invention of the *Blakeslea* genus has, in comparison with the genetically unmodified wild type, a ketolase activity and is thus
5 preferably capable of transgenically expressing a ketolase.

In a further preferred embodiment, the ketolase activity in the organisms of the *Blakeslea* genus is
10 caused by gene expression of a nucleic acid encoding a ketolase.

In this preferred embodiment, gene expression of a nucleic acid encoding a ketolase is preferably caused
15 by introducing nucleic acids encoding ketolases into the starting organism of the *Blakeslea* genus.

For this purpose, it is possible in principle to use any ketolase gene, i.e. any nucleic acid encoding a
20 ketolase.

Any of the nucleic acids mentioned in the description may be an RNA, DNA or cDNA sequence for example.

25 In the case of genomic ketolase sequences from eukaryotic sources, which include introns, preference is given to using already processed nucleic acid sequences such as the corresponding cDNAs, if the host organism of the *Blakeslea* genus is unable or cannot be
30 made to express the corresponding ketolase.

Examples of nucleic acids encoding a ketolase and the corresponding ketolases, which may be used in the

method of the invention, are, for example, sequences from:

Haematoccus pluvialis, in particular from Haematoccus
5 pluvialis Flotow em. Wille (accession NO: X86782;
nucleic acid: SEQ ID NO: 11, protein SEQ ID NO: 12),

Haematoccus pluvialis, NIES-144 (accession NO: D45881;
nucleic acid: SEQ ID NO: 13, protein SEQ ID NO: 14),
10

Agrobacterium aurantiacum (accession NO: D58420;
nucleic acid: SEQ ID NO: 15, protein SEQ ID NO: 16),

Alicyclobacillus spec. (accession NO: D58422; nucleic acid:
15 SEQ ID NO: 17, protein SEQ ID NO: 18),

Paracoccus marcusii (accession NO: Y15112; nucleic
acid: SEQ ID NO: 19, protein SEQ ID NO: 20),

20 Synechocystis sp. Strain PC6803 (accession NO:
NP442491; nucleic acid: SEQ ID NO: 21, protein SEQ ID
NO: 22),

Bradyrhizobium sp. (accession NO: AF218415; nucleic
25 acid: SEQ ID NO: 23, protein SEQ ID NO: 24),

Nostoc sp. Strain PCC7120 (accession NO: AP003592,
BAB74888; nucleic acid: SEQ ID NO: 25, protein SEQ ID
NO: 26),
30

Nostoc punctiforme ATTC 29133, Nucleic acid: Acc. No.
NZ_AABC01000195, base pair 55,604 to 55,392 (SEQ ID NO:
27); Protein: Acc. No. ZP_00111258 (SEQ ID NO: 28)
(annotated as putative protein) or

For example, the conditions during the washing step may be selected from the range of conditions limited by those of low stringency (with 2X SSC at 50°C) and those of high stringency (with 0.2X SSC at 50°C, preferably at 65°C) (20X SSC: 0.3 M sodium citrate, 3 M sodium chloride, pH 7.0).

An additional possibility is to rise the temperature during the washing step from moderate conditions at room temperature, 22°C, up to stringent conditions at 65°C.

Both parameters, the salt concentration and temperature, can be varied simultaneously, and it is also possible to keep one of the two parameters constant and vary only the other one. It is also possible to employ denaturing agents such as, for example, formamide or SDS during the hybridization. Hybridization in the presence of 50% formamide is preferably carried out at 42°C.

Some examples of conditions for hybridization and washing step are given below:

- (1) hybridization conditions with, for example,
 - (i) 4X SSC at 65°C, or
 - (ii) 6X SSC at 45°C, or
 - (iii) 6X SSC at 68°C, 100 mg/ml denatured fish sperm DNA, or
 - (iv) 6X SSC, 0.5% SDS, 100 mg/ml denatured, fragmented salmon sperm DNA at 68°C, or
 - (v) 6X SSC, 0.5% SDS, 100 mg/ml denatured, fragmented salmon sperm DNA, 50% formamide at 42°C, or
 - (vi) 50% formamide, 4X SSC at 42°C, or

- (vii) 50% (vol/vol) formamide, 0.1% bovine serum albumin, 0.1% Ficoll, 0.1% polyvinylpyrrolidone, 50 mM sodium phosphate buffer pH 6.5, 750 mM NaCl, 75 mM sodium citrate at 42°C, or
- 5 (viii) 2X or 4X SSC at 50°C (moderate conditions),
or
(ix) 30 to 40% formamide, 2X or 4X SSC at 42°C (moderate conditions).
- 10 (2) Washing steps of 10 minutes each with, for example,
(i) 0.015 M NaCl/0.0015 M sodium citrate/0.1% SDS at 50°C, or
(ii) 0.1X SSC at 65°C, or
- 15 (iii) 0.1X SSC, 0.5% SDS at 68°C, or
(iv) 0.1X SSC, 0.5% SDS, 50% formamide at 42°C, or
(v) 0.2X SSC, 0.1% SDS at 42°C, or
(vi) 2X SSC at 65°C (moderate conditions).
- 20 In a preferred embodiment of the genetically modified organisms according to the invention of the Blakeslea genus, nucleic acids are introduced which encode a protein comprising the amino acid sequence SEQ ID NO: 12 or a sequence which is derived from this sequence by
- 25 substitution, insertion or deletion of amino acids and which has an identity of at least 20%, preferentially at least 30%, preferably at least 40%, preferably at least 50%, preferably at least 60%, preferably at least 70%, preferably at least 80%, particularly preferably
- 30 at least 90%, in particular 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, at the amino acid level with the sequence SEQ ID NO: 12 and which has the enzymic property of a ketolase.

In this connection, it is possible for the ketolase sequence to be a natural one which can be found as described above by identity comparison of the sequences from other organisms, or for the ketolase sequence to
5 be an artificial one which has been modified starting from the sequence SEQ ID NO: 12 by artificial variation, for example by substitution, insertion or deletion of amino acids.

10 A further, preferred embodiment of the methods of the invention involves introducing nucleic acids which encode a protein comprising the amino acid sequence SEQ ID NO: 26 or a sequence which is derived from this sequence by substitution, insertion or deletion of
15 amino acids and which has an identity of at least 20%, preferentially at least 30%, preferably at least 40%, preferably at least 50%, preferably at least 60%, preferably at least 70%, preferably at least 80%, particularly preferably at least 90%, in particular
20 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, at the amino acid level with the sequence SEQ ID NO: 26 and which has the enzymic property of a ketolase.

In this connection, it is possible for the ketolase
25 sequence to be a natural one which can be found as described above by identity comparison of the sequences from other organisms, or for the ketolase sequence to be an artificial one which has been modified starting from the sequence SEQ ID NO: 26 by artificial
30 variation, for example by substitution, insertion or deletion of amino acids.

A further, preferred embodiment of the methods of the invention involves introducing nucleic acids which

encode a protein comprising the amino acid sequence SEQ ID NO: 30 or a sequence which is derived from this sequence by substitution, insertion or deletion of amino acids and which has an identity of at least 20%,
5 preferentially at least 30%, preferably at least 40%, preferably at least 50%, preferably at least 60%, preferably at least 70%, more preferably at least 80%, particularly preferably at least 90%, in particular 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, at the
10 amino acid level with the sequence SEQ ID NO: 30 and which has the enzymic property of a ketolase.

In this connection, it is possible for the ketolase sequence to be a natural one which can be found as
15 described above by identity comparison of the sequences from other organisms, or for the ketolase sequence to be an artificial one which has been modified starting from the sequence SEQ ID NO: 30 by artificial variation, for example by substitution, insertion or
20 deletion of amino acids.

The term "substitution" means in the description substitution of one or more amino acids by one or more amino acids. Preference is given to carrying out
25 "conservative" substitutions in which the replaced amino acid has a similar property to the original amino acid, for example substitution of Glu by Asp, Gln by Asn, Val by Ile, Leu by Ile, Ser by Thr.

30 Deletion is the replacement of an amino acid by a direct bond. Preferred positions for deletions are the termini of the polypeptide and the linkages between the individual protein domains.

Insertions are insertions of amino acids into the polypeptide chain, with formal replacement of a direct bond by one or more amino acids.

5 Identity between two proteins means the identity of the amino acids over the entire length of each protein, in particular the identity calculated by comparison with the aid of Lasergene software from DNASTAR, inc. Madison, Wisconsin (USA) using the Clustal method
10 (Higgins DG, Sharp PM. Fast and sensitive multiple sequence alignments on a microcomputer. Comput Appl. Biosci. 1989 Apr;5(2):151-1), setting the following parameters:

15 Multiple alignment parameter:

Gap penalty 10

Gap length penalty 10

Pairwise alignment parameter:

K-tuple 1

20 Gap penalty 3

Window 5

Diagonals saved 5

Accordingly, a protein which has an identity of at
25 least 20% at the amino acid level with the sequence SEQ ID NO: 12 or 26 or 30 means a protein which, on comparison of its sequence with the sequence SEQ ID NO: 12 or 26 or 30, in particular using the above program logarithm with the above set of parameters, has an
30 identity of at least 20%, preferably 80%, 85%, particularly 90%, in particular 95%.

Suitable nucleic acid sequences can be obtained, for example, by back translation of the polypeptide sequence in accordance with the genetic code.

- 5 The codons preferably used for this purpose are those frequently used according to the Blakeslea-specific codon usage. The codon usage can easily be found by means of computer analyses of other, known genes of organisms of the Blakeslea genus.

10

In a particularly preferred embodiment, a nucleic acid comprising the sequence SEQ ID NO: 11 is introduced into the organism of said genus.

- 15 In a particularly preferred embodiment, a nucleic acid comprising the sequence SEQ ID NO: 25 is introduced into the organism of said genus.

- In a particularly preferred embodiment, a nucleic acid
20 comprising the sequence SEQ ID NO: 29 is introduced into the organism of said genus.

- All the aforementioned ketolase genes can moreover be prepared in a manner known per se by chemical synthesis
25 from the nucleotide building blocks, for example by fragment condensation of individual overlapping, complementary nucleic acid building blocks of the double helix. Chemical synthesis of oligonucleotides is possible, for example, in a known manner by the
30 phosphoramidite method (Voet, Voet, 2nd edition, Wiley Press New York, pages 896-897). Addition of synthetic oligonucleotides and filling in of gaps with the aid of the Klenow fragment of DNA polymerase and ligation reactions, and also general cloning methods are

described in Sambrook et al. (1989), Molecular cloning: A laboratory manual, Cold Spring Harbor Laboratory Press.

5 The vector employed in the transformation (i) therefore comprises in one embodiment of the invention preferably a sequence coding for a ketolase, in particular the Nostoc punctiforme ketolase with SEQ ID NO: 72.

10 Hydroxylase activity means the enzymic activity of a hydroxylase.

A hydroxylase means a protein having the enzymic activity of introducing a hydroxyl group on the,
15 optionally substituted, β -ionone ring of carotenoids.

In particular, a hydroxylase means a protein having the enzymic activity of converting β -carotene to zeaxanthin or cantaxanthin to astaxanthin.

20

Accordingly, hydroxylase activity means the amount of β -carotene or cantaxanthin converted, or amount of zeaxanthin or astaxanthin produced, by the hydroxylase protein in a particular time.

25

Thus, when the hydroxylase activity is increased compared with the wild type, the amount of β -carotene or canthaxanthin converted or the amount of zeaxanthin or astaxanthin produced in a particular time by the
30 hydroxylase protein is increased in comparison with the wild type.

This increase in hydroxylase activity is preferably at least 5%, further preferably at least 20%, further preferably at least 50%, further preferably at least 100%, more preferably at least 300%, still more preferably at least 500%, in particular at least 600%, of the hydroxylase activity of the wild type.

The hydroxylase activity in the genetically modified organisms of the invention and in wild-type and reference organisms is preferably determined under the following conditions:

The hydroxylase activity is determined by the method of Bouvier et al. (Biochim. Biophys. Acta 1391 (1998), 320-328) *in vitro*. Ferredoxin, Ferredoxin-NADP oxidoreductase, katalase, NADPH and beta-carotene are added with mono- and digalactosyl glycerides to a defined amount of organism extract.

The hydroxylase activity is particularly preferably determined under the following conditions of Bouvier, Keller, d'Harlingue and Camara (Xanthophyll biosynthesis: molecular and functional characterization of carotenoid hydroxylases from pepper fruits (*Capsicum annuum* L.; Biochim. Biophys. Acta 1391 (1998), 320-328):

The *in vitro* assay is carried out in a volume of 0.250 ml. The mixture contains 50 mM potassium phosphate (pH 7.6), 0.025 mg of spinach ferredoxin, 0.5 unit of spinach ferredoxin-NADP+ oxidoreductase, 0.25 mM NADPH, 0.010 mg of beta-carotene (emulsified in 0.1 mg of Tween 80), 0.05 mM of a mixture of mono- and digalactosyl glycerides (1:1), 1 unit of catalysis,

200 mono- and digalactosyl glycerides, (1:1), 0.2 mg of bovine serum albumin and organism extract in a varying volume. The reaction mixture is incubated at 30°C for 2 hours. The reaction products are extracted with an
5 organic solvent such as THF, acetone or chloroform/methanol (2:1) and determined by HPLC.

The hydroxylase activity is particularly preferably determined under the following conditions of Bouvier,
10 d'Harlingue and Camara (Molecular Analysis of carotenoid cyclase inhibition; Arch. Biochem. Biophys. 346(1) (1997) 53-64):

The in vitro assay is carried out in a volume of
15 250 µl. The mixture contains 50 mM potassium phosphate (pH 7.6), varying amounts of organism extract, 20 nM lycopene, 250 µg of paprika chromoplastid stromal protein, 0.2 mM NADP⁺, 0.2 mM NADPH and 1 mM ATP. NADP/NADPH and ATP are dissolved in 10 ml of ethanol
20 with 1 mg of Tween 80 immediately before addition to the incubation medium. After a reaction time of 60 minutes at 30°C, the reaction is stopped by adding chloroform/methanol (2:1). The reaction products extracted into chloroform are analyzed by HPLC.

25

An alternative assay with radioactive substrate is described in Fraser and Sandmann (Biochem. Biophys. Res. Comm. 185(1) (1992) 9-15).

30 The hydroxylase activity can be increased in various ways, for example by switching off inhibitory regulatory mechanisms at the expression and protein levels or by increasing gene expression of nucleic

acids encoding a hydroxylase, compared with the wild type.

Gene expression of the nucleic acids encoding a hydroxylase can likewise be increased, compared with the wild type, in various ways, for example by inducing the hydroxylase gene by activators or by introducing one or more hydroxylase gene copies, i.e. by introducing at least one nucleic acid encoding a hydroxylase into the organism of the *Blakeslea* genus.

In a preferred embodiment, gene expression of a nucleic acid encoding a hydroxylase is increased by introducing at least one nucleic acid encoding a hydroxylase into the organism of the *Blakeslea* genus.

It is possible to use for this purpose in principle any hydroxylase gene, i.e. any nucleic acid which encodes a hydroxylase.

In the case of genomic hydroxylase sequences from eukaryotic sources, which comprise introns, preference is given to using nucleic acid sequences which have already been processed, such as the corresponding cDNAs, if the host organism is unable or cannot be made to express the corresponding hydroxylase.

One example of a hydroxylase gene is a nucleic acid encoding a *Haematococcus pluvialis* hydroxylase, with accession No. AX038729 (WO 0061764; nucleic acid: SEQ ID NO: 31, protein: SEQ ID NO: 32), an *Erwinia uredovora* 20D3 hydroxylase (ATCC 19321, accession No. D90087; nucleic acid: SEQ ID NO: 33, protein: SEQ ID

NO: 34) or *Thermus thermophilus* hydroxylase (DE 102 34 126.5) encoded by the sequence SEQ ID NO 76.

Further hydroxylases are encoded by the nucleic acids
5 having the following accession numbers

|emb|CAB55626.1, CAA70427.1, CAA70888.1, CAB55625.1,
AF499108_1, AF315289_1, AF296158_1, AAC49443.1,
NP_194300.1, NP_200070.1, AAG10430.1, CAC06712.1,
AAM88619.1, CAC95130.1, AAL80006.1, AF162276_1,
10 AAO53295.1, AAN85601.1, CRTZ_ERWHE, CRTZ_PANAN,
BAB79605.1, CRTZ_ALCSP, CRTZ_AGRAU, CAB56060.1,
ZP_00094836.1, AAC44852.1, BAC77670.1, NP_745389.1,
NP_344225.1, NP_849490.1, ZP_00087019.1, NP_503072.1,
NP_852012.1, NP_115929.1, ZP_00013255.1

15

Thus, in this preferred embodiment, at least one hydroxylase gene is present in the preferred transgenic organisms according to the invention of the *Blakeslea* genus, compared with the wild type.

20

In this preferred embodiment, the genetically modified organism has, for example, at least one exogenous nucleic acid encoding a hydroxylase.

25 In the preferred embodiment described above, preference is given to using as hydroxylase genes nucleic acids which encode proteins comprising the amino acid sequence SEQ ID NO: 32, 34 or encoded by the sequence SEQ ID NO 76 or a sequence which is derived from this
30 sequence by substitution, insertion or deletion of amino acids and which has an identity of least 30%, preferably at least 50%, more preferably at least 70%, still more preferably at least 80%, more preferably at least 90%, in particular 91%, 92%, 93%, 94%, 95%, 96%,

97%, 98%, 99%, at the amino acid level to the sequence SEQ. ID. NO: 32, 34, or encoded by the sequence with SEQ ID NO 76, and which have the enzymic property of a hydroxylase.

5

Further examples of hydroxylases and hydroxylase genes can readily be found, for example, from various organisms whose genomic sequence is known, as described above, by homology comparisons of the amino acid sequences or of the corresponding back-translated nucleic acid sequences from databases with SEQ ID. NO: 31, 33 or 76.

Further examples of hydroxylases and hydroxylase genes can furthermore readily be found in a manner known per se, for example starting from the sequence SEQ ID NO: 31, 33 or 76, from various organisms whose genomic sequence is unknown, as described above, by hybridization and PCR techniques.

20

In a further particularly preferred embodiment, nucleic acids which encode proteins comprising the amino acid sequence of the hydroxylase of sequence SEQ ID NO: 32, 34 or encoded by the sequence SEQ ID NO 76 are introduced into organisms to increase the hydroxylase activity.

Suitable nucleic acid sequences can be obtained, for example, by back translation of the polypeptide sequence in accordance with the genetic code.

30

Preference is given to using for this purpose those codons which are frequently used in accordance with the organism-specific codon usage. The codon usage can

readily be determined on the basis of computer analyses of other, known genes of the organisms in question.

5 In a particularly preferred embodiment, a nucleic acid comprising the sequence SEQ. ID. NO: 31, 33 or 76 is introduced into the organism.

10 All the aforementioned hydroxylase genes can furthermore be prepared in a manner known per se by chemical synthesis from the nucleotide building blocks, for example by fragment condensation of individual overlapping, complementary nucleic acid building blocks of the double helix. Chemical synthesis of oligonucleotides is possible, for example, in a known
15 manner by the phosphoramidite method (Voet, 2nd edition, Wiley Press New York, pages 896-897). Addition of synthetic oligonucleotides and filling in of gaps with the aid of the Klenow fragment of DNA polymerase and ligation reactions, and also general cloning methods
20 are described in Sambrook et al. (1989), Molecular cloning: A laboratory manual, Cold Spring Harbor Laboratory Press.

The vector employed in the transformation (i) therefore
25 comprises in a further embodiment of the invention preferably a sequence coding for a hydroxylase, in particular a *Haematococcus pluvialis* hydroxylase with SEQ ID NO: 70 or an *Erwinia uredovae* hydroxylase with SEQ ID NO: 71 or a *Thermus thermophilus* hydroxylase
30 encoded by the sequence SEQ ID NO 76.

The vector employed in the transformation (i) preferably also includes regions which control and

support expression, in particular promoters and terminators.

The vector employed in the transformation (i)
5 preferably includes the *gpd* and/or the *ptefl* promoter
and/or the *trpC* terminator, all of which have proved to
be particularly successful in the transformation of
Blakeslea. The use of "inverted repeats" familiar to
the skilled worker (IR, Römpp Lexikon der
10 Biotechnologie 1992, Thieme Verlag Stuttgart, page 407
"Inverse repetitive sequences") for controlling
expression and transcription is also within the scope
of the invention.

15 The *gpd* promoter employed in the vector has
advantageously the sequence SEQ ID NO: 1. The *trpC*
terminator employed in the vector has advantageously
the sequence SEQ ID NO: 2. The *ptefl* promoter employed
in the vector has advantageously the sequence SEQ ID
20 NO: 35.

Preference is given here to using in particular the *gpd*
promoter and the *trpC* terminator from *Aspergillus*
nidulans and the *ptefl* promoter from *Blakeslea*
25 *trispora*.

The vector employed in the transformation (i) in
particular comprises a resistance gene. The latter is
preferably a hygromycin resistance gene (*hph*), in
30 particular that from *E. coli*. This resistance gene has
proved particularly suitable in the detection of
transformation and selection of the cells.

The preferred promoter utilized for hph thus is p-gpdA, the promoter of glyceraldehyde 3-phosphate dehydrogenase coding for *Aspergillus nidulans*. The preferred terminator utilized for hph is t-trpC, the terminator of the trpC gene coding for *Aspergillus nidulans* anthranilate synthase components.

Derivatives of the pBinAHyg vector have proved to be particularly suitable vectors. The vector employed for transformation thus preferably comprises SEQ ID NO: 3. To this will be added, depending on the desired carotenoid or its precursor, a sequence coding for a hydroxylase, ketolase, phytoene desaturase etc., as described above. The vectors thus comprise in one embodiment of the invention the sequence SEQ ID NO: 69 coding for said phytoene desaturase. The vectors also comprise in a further embodiment of the invention the sequence SEQ ID NO: 72 coding for a ketolase. The vectors further comprise in a further embodiment of the invention the sequence SEQ ID NO: 70 or 71 or 76 coding for a hydroxylase. Corresponding combinations of the abovementioned sequences are also within the scope of the invention. Thus, the vector comprises in one embodiment both a sequence SEQ ID NO: 72 coding for a ketolase and the sequence SEQ ID NO: 70 or 71 or 76 coding for a hydroxylase and thus enables astaxanthin to be produced.

In particular, it is possible to use within the scope of the invention vectors selected from the group consisting of SEQ ID NO: 37 to 51 and 62.

The method of the invention enables genetically modified *Blakeslea* organisms, in particular of the

Blakeslea trispora species, or mycelium formed by them to be obtained.

The genetically modified organisms may be used for
5 producing carotenoids, xanthophylls or their precursors, in particular phytoene, bixin, astaxanthin, zeaxanthin and canthaxanthin. It is also possible, by introducing the appropriate genetic information, for new carotenoids which do not occur naturally in the
10 wild type to be generated by the specifically genetically modified cells or by the mycelium formed thereby and subsequently to be isolated.

Preference is given to obtaining carotenoids or their
15 precursors using the specifically genetically modified cells or the mycelium formed thereby.

If the genetic modification is carried out only in cells of one of the mating types found ((+) or (-) for
20 Blakeslea trispora), the corresponding other, unmodified mating type is added to the cultivation, since it is possible in this way to achieve good production of the carotenoids or their precursors, owing to the substances released by the second,
25 unmodified mating type (e.g. trisporic acids). Advantageously, however, the genetic modification is carried out in cells of both mating types which are then cultured together, thereby achieving particularly good growth and optimal production of the carotenoids
30 or their precursors. An (artificial) addition of trisporic acids is possible and useful.

Trisporic acids are sex hormones in Mucorales fungi such as Blakeslea, which stimulate the formation of

zygophores and production of β -carotene (van den Ende 1968, J. Bacteriol. 96:1298 - 1303, Austin et al. 1969, Nature 223:1178 - 1179, Reschke Tetrahedron Lett. 29:3435 - 3439, van den Ende 1970, J. Bacteriol. 101:423 - 428).

Materials and methods

Molecular genetics work was carried out, unless described otherwise, by the methods in Current Protocols in Molecular Biology (Ausubel et al., 1999, John Wiley & Sons).

Strains and growth conditions

The *Blakeslea trispora* strains ATCC 14271 (mating type (+)) and ATCC14272 (-) mating type (-) were obtained from the American Type Culture Collection. *B. trispora* were grown in MEP medium (malt extract-peptone medium): 30 g/l malt extract (Difco), 3 g/l peptone (Soytone, Difco), 20 g/l agar, pH set to 5.5, ad 1000 ml with H₂O at 28°C.

Agrobacterium tumefaciens LBA4404 were grown according to Hoekema et al. (1983, Nature 303:179-180) at 28°C for 24 h in agrobacterial minimal medium (AMM): 10 mM K₂HPO₄, 10 mM KH₂PO₄, 10 mM glucose, MM salts (2.5 mM NaCl, 2 mM MgSO₄, 700 μ M CaCl₂, 9 μ M FeSO₄, 4 mM (NH₄)₂SO₄).

Transformation of *Agrobacterium tumefaciens*

The plasmid pBinAHyg was electroporated into the agrobacterial strain LBA 4404 (Hoekema et al., 1983, Nature 303:179-180) (Mozo and Hooykaas, 1991, Plant

Mol. Biol. 16:917-918). The following antibiotics were used for selection during agrobacterial growth: Rifampicin 50 mg/l (selection for the *A. tumefaciens* chromosome), streptomycin 30 mg/l (selection for the helper plasmid) and kanamycin 100 mg/l (selection for the binary vector).

Transformation of *Blakeslea trispora*

After 24 h of growth in AMM, the agrobacteria were diluted for transformation to an OD₆₀₀ of 0.15 in induction medium (IM: MM salts, 40 mM MES (pH 5.6), 5 mM glucose, 2 mM phosphate, 0.5% glycerol, 200 µM acetosyringone) and grown again in IM to an OD₆₀₀ of approx. 0.6 overnight.

For coincubation of *Blakeslea* ATCC 14271 or ATCC14272 and *Agrobacterium*, 100 µl of agrobacterial suspension were mixed with 100 µl of *Blakeslea* spore suspension (10⁷ spores/ml in 0.9% NaCl) and distributed in a sterile manner on a nylon membrane (Hybond N, Amersham) on IM-agarose plates (IM + 18 g/l agar). After 3 days of incubation at 26°C, the membrane was transferred to an MEP-agar plate (30 g/l malt extract, 3 g/l peptone, pH 5.5, 18 g/l agar). To select for transformed *Blakeslea* cells, the medium comprised hygromycin at a concentration of 100 mg/l and, to select against agrobacteria, 100 mg/l cefotaxime. The incubation was carried out at 26°C for approx. 7 days. This was followed by transferring mycelium to fresh selection plates. Resultant spores were rinsed with 0.9% NaCl and plated on CM17-1 agar (3 g/l glucose, 200 mg/l L-asparagine, 50 mg/l MgSO₄ × 7H₂O, 150 mg/l KH₂PO₄, 25 µg/l thiamine-HCl, 100 mg/l Yeast Extract, 100 mg/l sodium deoxycholate, 100 mg/L hygromycin, 100 mg/L

cefotaxime, pH 5.5, 18 g/l agar). Individual genetically modified spores were isolated by putting them individually on selection medium, using an FACS instrument from BectonDickson (Modell Vantage+Diva
5 Option).

Preparation of genetically modified *Blakeslea trispora* by agrobacterium-mediated transformation

Preparation of the recombinant plasmid pBinAHyg

10 The gpdA-hph-trpC-cassette was isolated as BglIII/HindIII fragment from the plasmid pANsCos1 (Fig. 1, Osiewacz, 1994, Curr. Genet. 26:87-90, SEQ ID NO: 4) and ligated into the binary plasmid pBin19 (Bevan, 1984, Nucleic Acids Res. 12:8711-8721) opened
15 with BamHI/HindIII. The vector obtained in this way was referred to as pBinAHyg (Fig. 2, SEQ ID NO: 3) and comprised the *E. coli* hygromycin resistance gene (hph) under the control of the gpd promoter (SEQ ID NO: 1) and the trpC terminator (SEQ ID NO: 2) from *Aspergillus*
20 *nidulans* and the corresponding border sequences required for *Agrobacterium* DNA transfer. The vectors mentioned in the exemplary embodiments described hereinbelow are pBinAHyg derivatives.

25 Transfer of pBinAHyg and pBinAHyg derivatives into *Agrobacterium tumefaciens*

The transfer of the pBinAHyg plasmid into agrobacteria is described by way of example below. The derivatives were transferred in a similar manner.

30

The plasmid pBinAHyg was electroporated into the agrobacterial strain LBA 4404 (Hoekema et al., 1983, Nature 303:179-180) (Mozo and Hooykaas, 1991, Plant Mol. Biol. 16:917-918). The following antibiotics were

used for selection during agrobacterial growth: Rifampicin 50 mg/l (selection for the *A. tumefaciens* chromosome), streptomycin 30 mg/l (selection for the helper plasmid) and kanamycin 100 mg/l (selection for the binary vector).

Transfer of pBinAHyg and pBinAHyg derivatives into *Blakeslea trispora*

After 24 h of growth in AMM, the agrobacteria were diluted for transformation to an OD₆₆₀ of 0.15 in induction medium (IM: MM salts, 40 mM MES (pH 5.6), 5 mM glucose, 2 mM phosphate, 0.5% glycerol, 200 µM acetosyringone) and grown again in IM to an OD₆₆₀ of approx. 0.6 overnight.

For coincubation of *Blakeslea trispora* (B.t.) and *Agrobacterium tumefaciens* (A.t.) 100 µl of agrobacterial suspension were mixed with 100 µl of *Blakeslea* spore suspension (10⁷ spores/ml in 0.9% NaCl) and distributed in a sterile manner on a nylon membrane (Hybond N, Amersham) on IM-agarose plates (IM + 18 g/l agar). After 3 days of incubation at 26°C, the membrane was transferred to an MEP-agar plate (30 g/l malt extract, 3 g/l peptone, pH 5.5, 18 g/l agar).

To select for transformed *Blakeslea* cells, the medium contained hygromycin at a concentration of 100 mg/l and, to select against agrobacteria, 100 mg/l cefotaxime. The incubation was carried out at 26°C for approx. 7 days. This was followed by transferring mycelium to fresh selection plates. Resultant spores were rinsed with 0.9% NaCl and plated on CM17-1 agar (3 g/l glucose, 200 mg/l L-asparagine, 50 mg/l MgSO₄ x 7H₂O, 150 mg/l KH₂PO₄, 25 µg/l thiamine-HCl, 100 mg/l

Yeast Extract, 100 mg/l sodium deoxycholate, pH 5.5, 100 mg/L cefotaxime, 100 mg/L hygromycine, 18 g/l agar). The transfer of spores to fresh selection plates was repeated three times. In this way, the transformant

5 Blakeslea trispora GMO 3005 was isolated. Alternatively, the GMO (genetically modified organisms) were selected by applying the spores individually to CM-17 agar containing 100 mg/l cefotaxime, 100 mg/l hygromycin, by means of the Becton Dickinson

10 FacsVantage+Diva Option. In this case, fungal mycelium formed only where the spores had been genetically modified.

Detection of the genetic modification due to transfer

15 **of pBinAHyg and pBinAHyg derivatives in Blakeslea trispora**

Detection of the transfer is described by way of example below for pBinAHyg in Blakeslea trispora. Detection of the transfer of the derivatives was

20 carried out in a similar manner.

200 ml of MEP medium (30 g/l malt extract, 3 g/l peptone, pH 5.5) were inoculated with 10^5 to 10^7 spores of the Blakeslea trispora GMO 3005 transformant and

25 incubated on a rotary shaker at 200 rpm and 26°C for 7 days. To detect successful transformation, DNA was isolated from the mycelium (Peglab Fungal DNA Mini Kit) and used in a PCR (program: 94°C for 1 min, then 30 cycles of 1 min. at 94°C, 1 min. at 58°C, 1 min. at

30 72°C, each).

The primers hph-forward (5'-CGATGTAGGAGGGCGTGGATA, SEQ ID NO: 5) and hph-reverse (5'-GCTTCTGCGGGCGATTTGTGT, SEQ ID NO: 6) were used for detecting the hygromycin

resistance gene (hph). The expected hph fragment was 800 bp in length.

The primers nptIII-forward (5'-TGAGAATATCACCGGAATTG, SEQ ID NO: 7) and nptIII-reverse (5'-AGCTCGACATACTGTTCTTCC, SEQ ID NO: 8) were used for amplification of the kanamycin resistance gene nptIII and thus as a control for agrobacteria. The expected nptIII fragment was 700 bp in length.

10

The primers MAT292 (5'-GTGAATGGAAATCCCATCGCTGTC, SEQ ID NO: 9) and MAT293 (5'-AGTGGGTACTCTAAAGGCCATACC, SEQ ID NO: 10) were used for amplification of a fragment of the glycerinaldehyde 3-phosphate dehydrogenase gene gpd1 and thus as a control for Blakeslea trispora. The expected gpd1 fragment was 500 bp in length.

15

Fig. 3 depicts the result of the PCR of Blakeslea trispora DNA on the basis of a standard gel. The gel lanes were loaded as follows:

20

- 1) 100 bp size marker (100 bp - 1 kb)
- 2) B.t. GMO 3005 primer nptIII-for / nptIII-rev
- 3) B.t. GMO 3005 primer hph-for / hph-rev
- 25 4) B.t. GMO 3005 primer MAT292 / MAT293 (gpd)
- 5) A.t. with pBinAHyg primer nptIII-for / nptIII-rev
plasmid
- 6) A.t. with pBinAHyg primer hph-for / hph-rev
plasmid
- 30 7) B.t. 14272 WT primer nptIII-for / nptIII-rev
- 8) B.t. 14272 WT primer hph-for / hph-rev
- 9) B.t. 14272 WT primer MAT292 / MAT293 (gpd)

The hygromycin resistance gene (hph) and, as a positive control, the glycerinaldehyde 3-phosphate dehydrogenase gene (gpd1) were detected in *Blakeslea trispora* DNA. In contrast, nptIII was not detected.

5

Thus, the genetic modification of *Blakeslea trispora* by *Agrobacterium*-mediated transformation was detected.

Isolation of homokaryotic *Blakeslea trispora* GMOs: The successful transfer of the pBinAHyg vector and pBinAHyg derivatives into *Blakeslea trispora* produces genetically modified organisms (GMO) of *Blakeslea trispora*. However, *Blakeslea* has multinuclear cells at all stages of the vegetative and sexual cell cycle. Therefore, foreign DNA is usually inserted only in one nucleus. It is the aim to obtain *Blakeslea* strains in which foreign DNA has been inserted in all nuclei, i.e. the aim is a homonuclear recombinant fungal mycelium.

20 1) Preparation of homonuclear recombinant strains by means of FACS (fluorescence-activated cell sorting)

A small proportion of the spores of *Blakeslea trispora* or of the genetically modified *Blakeslea trispora* strains is by nature mononuclear. To produce homonuclear recombinant strains comprising the foreign DNA of pBinAHyg or pBinAHyg derivatives, the mononuclear spores were sorted out by means of FACS and plated on MEP (30 g/l malt extract, 3 g/l peptone, pH 5.5, 18 g/l agar) containing 100 mg/l cefotaxime and 100 mg/l hygromycin. The mycelia produced here were homonuclear. For FACS, the spores of a 3 day old smear were washed off with 10 ml of Tris-HCl 50 mMol + 0.1% Span20 per agar plate. The spore concentration was from 0.5 to 0.8×10^7 spores per ml. 1 ml of DMSO and 10 μ l

of Syto 11 (dye stock solution in DMSO, Molecular Probes No. S-7573) were added to 9 ml of spore suspension. This was followed by staining at 30°C for 2 h. Selection and application were carried out by means of a Becton Dickinson FacsVantage+Diva Option type instrument. First, a size selection was carried out in order to separate individual spores from aggregates and contaminations. These spores were then applied sorted according to their fluorescence (excitation = 488 nm; emission = 530 nm). The left shoulder of the Gauss curve of the fluorescence frequency distribution contained the mononuclear spores.

2) Preparation of homonuclear strains by reducing the number of nuclei and selection with FACS

To reduce the number of nuclei per spore, spore suspensions were treated with MNNG (N-methyl-N'-nitro-N-nitrosoguanidine) prior to selection, thus achieving a reduction in the number of nuclei by chemical mutagenesis.

For this, first a spore suspension containing 1×10^7 spores/ml in Tris/HCl buffer, pH 7.0 was prepared. The spore suspension was admixed with MNNG at a final concentration of 100 µg/ml. The time of incubation in MNNG was chosen in such a way that the survival rate of the spores was approx. 5%. After incubation with MNNG, the spores were washed three times with 1 g/l Span 20 in 50 mM phosphate buffer pH 7.0 and sorted and selected by the method described under 1).

As an alternative, it was also possible to reduce the number of nuclei in the spores by using X-rays and UV

rays, as described by Cerdá-Olmedo and Patricia Reau in Mutation Res., 9(1970), 369-384.

3) Preparation of homonuclear strains by selection for recessive selection markers

A suitable recessive selection marker for selection of homonuclear mycelia is, for example, the recessive selection marker *pyrG*. Wild-type strains of *Blakeslea trispora* are *pyrG*⁺. These strains are unable to grow in the presence of the pyrimidine analog 5-fluoroorotate (FOA), because they convert FOA to lethal metabolites via orotidine 5'-monophosphate decarboxylase. Genetically modified *pyrG*⁻-homonuclear *Blakeslea* lack the enzyme activity of orotidine 5'-monophosphate decarboxylase. Consequently, these *pyrG*⁻ strains are unable to utilize 5-fluoroorotate. Therefore, these strains grow in the presence of FOA and uracil. If the *pyrG*⁻ mutation and the foreign DNA insert are coupled on the nucleus of a mononuclear spore, this spore may form homonuclear recombinant fungal mycelium.

First, the plasmid pBinAHygBTpyrG-SCO (SEQ ID NO: 36, Fig. 4) was generated by inserting a fragment of *pyrG* (SEQ ID NO: 65) from *Blakeslea trispora* into pBinAHyg. Said plasmid was transformed into *Blakeslea trispora* and caused *pyrG* disruption there due to homologous recombination.

Homonuclear *Blakeslea trispora* GMO with the *pyrG*⁻ phenotype were selected as follows. Plating on MEP (30 g/l malt extract, 3 g/l peptone, pH 5.5, 18 g/l agar) containing 100 mg/l cefotaxime and 100 mg/l hygromycin for agrobacterium-mediated transformation of pBinAHygBTpyrG-SCO was carried out as described above.

The spores of the transformants were washed off with 10 ml of Tris-HCl 50 mM + 0.1% Span20 per agar plate. The spore concentration was from 0.5 to 0.8×10^7 spores per ml. The spores were then plated on FOA medium containing 100 mg/l cefotaxime and 100 mg/l hygromycin. FOA medium comprised, per liter, 20 g of glucose, 1 g of FOA, 50 mg of uracil, 200 ml of citrate buffer (0.5 M, pH 4.5) and 40 ml of trace salt solution according to Sutter, 1975, PNAS, 72:127). Homonuclear pyrG⁻ mutants exhibited growth on the uracil-containing FOA medium but no growth when plated on FOA medium without uracil. In the same way, homonuclear GMO were prepared from the *Blakeslea trispora* GMO described below for producing xanthophylls.

Alternatively, it is possible to plate the spores according to the protocol by Roncero et al. on medium comprising 5-carbon-5-deazariboflavin and, additionally, hygromycin (Roncero et al., 1984, Mutation Research, 125: 195-204). This enables homokaryotic cells of the genotype hyg^R and dar⁻ to be selected.

According to this principle, homokaryotic *Blakeslea trispora* strains with the phenotype hyg^R and dar⁻ are generated.

Exemplary embodiments for preparing genetically modified organisms of *Blakeslea trispora* for producing carotenoids and carotenoid precursors.

The plasmids mentioned below were generated by the "overlap-extension PCR" method and by subsequent insertion of the amplification products into the pBinAHyg plasmid. The overlap-extension PCR method was

carried out as described in Innis et al. (Eds) PCR protocols: a guide to methods and applications, Academic Press, San Diego. Transformation of the pBinAHyg derivatives and preparation of homonuclear
5 genetically modified *Blakeslea trispora* strains were carried out as described above.

Genetically modified *Blakeslea trispora* strains for producing zeaxanthin

10 The following plasmids (pBinAHyg derivatives) were used for genetic modification of *Blakeslea trispora* for the production of zeaxanthin, and thus encode inter alia hydroxylases (crtZ):

- 15 - ptefl1-HPcrtZ, comprising the gene of the HPcrtZ hydroxylase (SEQ ID NO:70) from *Haematococcus pluvialis* Flotow NIES-144 (Accession No. AF162276) under the control of the *Blakeslea trispora* ptefl1 promoter (Seq. pBinAHygBTpTEF1-HPcrtZ, SEQ ID NO:37, Fig. 5);
- 20 - p-carRA-HPcrtZ, comprising the gene of the HPcrtZ hydroxylase from *Haematococcus pluvialis* Flotow NIES-144 under the control of the *Blakeslea trispora* pcarRA promoter (Seq. pBinAHygBTpcarRA-HPcrtZ, SEQ ID NO:38, Fig. 6);
- 25 - p-carB-HPcrtZ, comprising the gene of the HPcrtZ hydroxylase from *Haematococcus pluvialis* Flotow NIES-144 under the control of the *Blakeslea trispora* pcarB promoter (Seq. pBinAHygBTpcarB-HPcrtZ, SEQ ID NO:39, Fig. 7);
- 30 - p-carRA-HPcrtZ-TAG-3'carA-IR, comprising the gene of the HPcrtZ hydroxylase from *Haematococcus pluvialis* Flotow NIES-144 under the control of the *Blakeslea trispora* pcarRA promoter. An inverted repeat structure is located downstream of the

- hydroxylase gene, which structure is derived from the 3' end of *carA* and the region downstream of *carA* (IR, SEQ ID NO:74, "Inverted Repeat 1" approx. 350 bp of *carA*, then approx. 200 bp "Loop" and then approx. 350 bp "Inverted Repeat 2") (Seq. pBinAHyg-BTpcarRA-HPcrtZ-TAG-3'*carA*-IR, SEQ ID NO:40, Fig. 8);
- 5
- p-*carRA*-HPcrtZ-GCG-3'*carA*-IR, comprising the gene of the HPcrtZ hydroxylase from *Haematococcus pluvialis* Flotow NIES-144 under the control of the
 - 10 *Blakeslea trispora* p*carRA* promoter. The hydroxylase gene is fused to an inverted repeat structure which is derived from the 3' end of *carRA* and the region downstream of *carA* (IR, SEQ ID NO:74, "Inverted Repeat 1" approx. 350 bp
 - 15 of *carA*, then approx. 200 bp "Loop" and then approx. 350 bp "Inverted Repeat 2"). Consequently, the derived fusion protein consists of the *Haematococcus pluvialis* hydroxylase and the
 - 20 carboxy terminus of *Blakeslea trispora* CarA (Seq. pBinAHyg-BTpcarRA-HPcrtZ-GCG-3'*carA*-IR, SEQ ID NO:41, Fig. 9).
 - p-*tefl*-EUcrtZ, comprising the gene of the EUcrtZ hydroxylase (SEQ ID NO:71) from *Erwinia uredovae*
 - 25 20D3 (Accession No. D90087) under the control of the p*tefl* promoter (Seq. pBinAHygBTpTEF1-EUcrtZ, SEQ ID NO:42, Fig. 10);
 - p-*carRA*-EUcrtZ, comprising the gene of the EUcrtZ hydroxylase from *Erwinia uredovae* 20D3 under the
 - 30 control of the *Blakeslea trispora* p*carRA* promoter (Seq. pBinAHygBTpcarRA-EUcrtZ, SEQ ID NO:43, Fig. 11);
 - p-*carB*-EUcrtZ, comprising the gene of the EUcrtZ hydroxylase from *Erwinia uredovae* 20D3 under the

control of the *Blakeslea trispora* p*carB* promoter (Seq. pBinAHygBTp*carB*-EU*crtZ*, SEQ ID NO:44, Fig. 12);

- p-gpdA-HP*crtZ*-t-*crtZ*, comprising the gene of the HP*crtZ* hydroxylase from *Haematococcus pluvialis* Flotow NIES-144 under the control of the gpdA promoter and the t-*crtZ* terminator; i.e. of the sequence section downstream of *crtZ* from *Haematococcus pluvialis* Flotow NIES-144 (SEQ ID NO:73) (Seq. pBinAHyg-gpdA-HP*crtZ*-t*crtZ*, SEQ ID NO:43, Fig. 13).
- p-gpdA-BT*carR*-HP*crtZ*-BT*carA*, comprising a gene fusion of genes of lycopine cyclase *carR* from *Blakeslea trispora*, of HP*crtZ* hydroxylase from *Haematococcus pluvialis* Flotow NIES-144 and of the phytoene synthase *carA* from *Blakeslea trispora* and under the control of the *Aspergillus nidulans* gpdA promoter (Seq. pBinAHyg-*carR_crtZ_carA*, SEQ ID NO:46, Fig. 14).

Preparation of genetically modified *Blakeslea trispora* strains for producing canthaxanthin

The following plasmids (pBinAHyg derivatives) were used for genetic modification of *Blakeslea trispora* for the production of canthaxanthin, and thus encode inter alia ketolases (*crtW*):

- p-tef1-NP*crtW*, comprising the gene of the NP*crtW* ketolase (SEQ ID NO:72) from *Nostoc punctiforme* PCC73102 (ORF148, Accession No. NZ_AABC01000196) and under the control of the *Blakeslea trispora* ptef1 promoter (Seq. pBinAHygBTpTEF1-Np*crtW*, SEQ ID NO:47, Fig. 15);
- p-*carRA*-NP*crtW*, comprising the gene of the NP*crtW* ketolase from *Nostoc punctiforme* PCC73102 and

under the control of the *Blakeslea trispora* pcarRA promoter (Seq. pBinAHygBTpcarRA-NpucrtW, SEQ ID NO:48, Fig. 16);

- p-carB-NPcrtW, comprising the gene of the NPcrtW ketolase from *Nostoc punctiforme* PCC73102 and under the control of the *Blakeslea trispora* pcarB promoter (Seq. pBinAHygBTpcarB-NpucrtW, SEQ ID NO:49, Fig. 17).

10 **Preparation of genetically modified *Blakeslea trispora* strains for producing astaxanthin**

The following plasmids (pBinAHyg derivatives) were used for genetic modification of *Blakeslea trispora* for producing astaxanthin, i.e. encode inter alia hydroxylases (crtZ) and ketolases (crtW):

- p-carRA-HPcrtZ-pcarRA-NPcrtW, comprising the gene of the HPcrtZ hydroxylase from *Haematococcus pluvialis* Flotow NIES-144 and the gene of the NPcrtW ketolase from *Nostoc punctiforme* PCC73102 (ORF148, Accession No. NZ-AABC01000196), both in each case under the control of the *Blakeslea trispora* pcarRA promoter (Seq. pBinAHygBTpcarRA-HPcrtZ-BTpcarRA-NpucrtW, SEQ ID NO:50, Fig. 18);
- p-carRA-EUcrtZ-pcarRA-NPcrtW, comprising the gene of the EUcrtZ hydroxylase from *Erwinia uredovae* 20D3 (Accession No. D90087) and the gene of the NPcrtW ketolase from *Nostoc punctiforme* PCC73102, both in each case under the control of the *Blakeslea trispora* pcarRA promoter (Seq. pBinAHygBTpcarRA-EUcrtZ-BTpcarRA-NpucrtW, SEQ ID NO:51, Fig. 19).

Cloning and sequence analysis of genes and promoters which may be utilized by way of example for genetic modification of *Blakeslea trispora*.

Cloning and sequencing of various *Blakeslea trispora* genes and promoters are described by way of example below.

Cloning and sequence analysis of *ptef1*

Blakeslea trispora p-tef was cloned on the basis of a sequence, previously published in GenBank, of the structural gene of *Blakeslea trispora* translation elongation factor 1- α (AF157235). Starting from the sequence entry AF157235 primers were selected for inverted PCR in order to amplify and sequence the promoter region upstream of said structural gene.

In the inverted nested PCR of 200 ng of XhoI-cleaved and circularized genomic DNA of *Blakeslea trispora* ATCC14272, a 3000-bp fragment was obtained in the following reaction mixture: template DNA (1 μ g of genomic DNA of *Blakeslea trispora* ATCC 14272) primers MAT344 5'-GGCGTACTTGAAGGAACCCTTACCG-3' (SEQ ID NO:63) and MAT 345 5'-ATTGATGCTCCCGGTCACCGTGATT-3' (SEQ ID NO:64), 0.25 μ M each, 100 μ M dNTP, 10 μ l of Herculanase polymerase buffer 10 \times , 5 U of Herculanase (addition at 85°C), H₂O ad 100 μ l. The PCR profile was as follows: 95°C, 10 min (1 cycle); 85°C, 5 min (1 cycle); 60°C, 30 s, 72°C, 60 s, 95°C, 30 s (30 cycles); 72°C, 10 min (1 cycle). The sequence section upstream of the putative start codon of the *tef1* gene in the 3000-bp fragment was referred to as *ptef1* promoter.

Cloning, sequence analysis of the gene of HMG-CoA reductase from *Blakeslea trispora*

First, the cosmid vector pANsCos1 was used for preparing a gene library of *Blakeslea trispora* ATCC 14272, Mating Type (-). The vector was linearized by cleavage with XbaI and then dephosphorylated. Further
5 cleavage with BamHI generated the insertion site into which the *Blakeslea trispora* genomic DNA, partially cleaved with Sau3AI and dephosphorylated, was ligated. The cosmids produced in this way were subsequently packaged *in vitro* and transferred into *Escherichia*
10 *coli*.

On the basis of the known sequence of a fragment of the *Blakeslea trispora* gene encoding HMG-CoA reductase (Eur. J. Biochem 220, 403-408 (1994)), a 315-bp DNA probe was prepared by the following PCR. Reaction
15 mixture: 1 µg of genomic DNA of *Blakeslea trispora* ATCC 14272, primers MAT314 5'-CCGATGGCGACGACGGAAGGTTGTT-3' [SEQ ID NO: 79] and MAT315 5'-CATGTTTCATGCCCATTGCATCACCT-3' [SEQ ID NO: 80], 0.25 µM each, 100 µM dNTP, 10 µl of Herculase
20 polymerase buffer 10x, 5 U of Herculase (addition at 85°C), H₂O ad 100 µl. The PCR profile was as follows: 95°C, 10 min (1 cycle); 85°C, 5 min (1 cycle); 58°C, 30 s, 72°C, 30 s, 95°C, 30 s (30 cycles); 72°C, 10 min (1 cycle).

25 This DNA probe was used for screening the cosmid gene library. A clone whose cosmid hybridized with said DNA probe was identified. The insert of this cosmid was sequenced. The DNA sequence comprised a section which was assigned to the gene of an MHG-CoA reductase [SEQ
30 ID NO 75].

Cloning and sequence analysis of *carB*

(*carB* = *Blakeslea trispora* phytoene desaturase gene)

The degenerated primers MAT182 5'-GCNGARGGNATHHTGGTA-3' (SEQ ID 52) and MAT192 5'-TCNGCNAGRAADATRTTTRTG-3' (SEQ ID 53) were derived from comparing the peptide sequences of phytoene desaturases and comparing the corresponding DNA sequences of *Phycomyces blakesleeanus*, *Cercospora nicotianae*, *Phaffia rhodozyma* and *Neurospora crassa*. The PCR was carried out in 100 µl reaction mixtures. These comprised 200 ng of genomic DNA of *Blakeslea trispora* ATCC14272, 1 µM MAT182, 1 µM MAT192, 100 µM dNTP, 10 µl of Pfu polymerase buffer 10×, 2.5 U of Pfu polymerase (addition at 85°C), H₂O ad 100 µl.

The PCR profile was as follows: 95°C, 10 min (1 cycle); 85°C, 5 min (1 cycle); 40°C, 30 s, 72°C, 30 s, 95°C, 30 s (35 cycles); 72°C, 10 min (1 cycle).

This resulted in a 358-bp fragment whose derived peptide sequence is similar to the phytoene desaturase sequences. The method of inverted PCR (Innis et al. in PCR protocols: a guide to methods and applications. 1990. pp. 219-227) was used for amplifying, cloning and sequencing, according to the principle of chromosome walking, the gene regions upstream and downstream of the 350-bp fragment as follows:

(i) a 1.1 kbp fragment, by PCR with the primers MAT219 5'-AAGTGACACCGGTTACACGCTTGTCTT-3' (SEQ ID 54) and MAT 220 5'-GCTTATCACCATCTGTACCTCCTTGC-3' (SEQ ID 55), obtained from 200 ng of EcoRI-cleaved and circularized genomic DNA of *Blakeslea trispora* ATCC14272, 0.25 µM MAT219, 0.25 µM MAT220, 100 µM dNTP, 10 µl of Herculase polymerase buffer 10×, 5 U of Herculase (addition at 85°C), H₂O ad

100 µl. The PCR profile as follows: 95°C, 10 min (1 cycle); 85°C, 5 min (1 cycle); 60°C, 30 s, 72°C, 60 s, 95°C, 30 s (30 cycles); 72°C, 10 min (1 cycle),

5 (ii) a 2.9 kbp fragment, by PCR with the primers MAT219 and MAT220, obtained from 200 ng of XbaI cleaved and circularized genomic DNA *Blakeslea trispora* ATCC14272, 0.25 µM MAT219, 0.25 µM MAT220, 100 µM dNTP, 10 µl of Herculase polymerase buffer 10x,
10 5 U of Herculase (addition at 85°C), H₂O ad 100 µl. The PCR profile was as follows: 95°C, 10 min (1 cycle); 85°C, 5 min (1 cycle); 60°C, 30 s, 72°C, 3 min, 95°C, 30 s (30 cycles); 72°C, 10 min (1 cycle).

15 Fig. 20 [SEQ ID NO 77] depicts diagrammatically the cloned sequence section. Sequencing was carried out in strand and counterstrand orientation, using the cloned fragments and the PCR products. Fig. 21 [SEQ ID NO 78] depicts the sequence of the cloned sequence section.

20

Sequence comparisons

The nucleotide sequence of *carB* and the peptide sequence of the derived protein CarB were compared with the known sequences of related proteins. The sequences
25 were compared using the GAP and BESTFIT programs.

CarB - Identical aminoacyl residues according to GAP

Program settings:

Gap weight:	8
30 Length weight:	2
Average match:	2.912
Average mismatch:	-2.003

The following values, in %, of amino acid correspondence to CarB of *Blakeslea trispora* ATCC14272 were found:

	<i>Phycomyces blakesleeanus</i> :	72.491
5	<i>Phaffia rhodozyma</i> :	50.460
	<i>Neurospora crassa</i> :	47.943
	<i>Cercospora nicotianae</i> :	47.740

**CarB - Identical aminoacyl residues according to
10 BESTFIT**

Program settings:

	Gap weight:	8
	Length weight:	2
	Average match:	2.912
15	Average mismatch:	-2.003

The following values, in %, of amino acid correspondence to CarB of *Blakeslea trispora* ATCC14272 were found:

	<i>Phycomyces blakesleeanus</i> :	73.380
20	<i>Phaffia rhodozyma</i> :	53.175
	<i>Neurospora crassa</i> :	51.896
	<i>Cercospora nicotianae</i> :	50.791

carB - Identical bases according to GAP

25 Program settings:

	Gap weight:	50
	Length weight:	3
	Average match:	10.000
	Average Mismatch:	0.000

30 The following values, in %, of base correspondence to CarB of *Blakeslea trispora* ATCC14272 were found:

	<i>Phycomyces blakesleeanus</i> :	64.853
	<i>Cercospora nicotianae</i> :	50.143
	<i>Phaffia rhodozyma</i> :	43.179

Neurospora crassa: 42.130

carB - Identical bases according to BESTFIT

Program settings:

5 Gap weight: 50
Length weight: 3
Average match: 10.000
Average mismatch: -9.000

The following values, in %, of base correspondence to

10 CarB of *Blakeslea trispora* ATCC14272 were found:

Phycomyces blakesleeanus: 68.926
Phaffia rhodozyma: 62.403
Neurospora crassa: 60.230
Cercospora nicotianae: 56.884

15

Cloning for carB expression

In order to clone and express *Blakeslea trispora* carB, the possible protein sequences were derived in six reading frames from the above-described cloned sequence section from *Blakeslea trispora*. These protein sequences were compared with the sequences of the phytoene desaturates from *Phycomyces blakesleeanus*, *Phaffia rhodozyma*, *Neurospora crassa*, *Cercospora nicotianae*. On the basis of the sequence comparison, three exons were identified in the cloned sequence section of the *Blakeslea trispora* genomic DNA, which, put together, result in a coding region whose derived gene product has, over its entire length, 72.7% identical aminoacyl residues with the CarB phytoene desaturase of *Phycomyces blakesleeanus*. This sequence section comprising three possible exons and two possible introns was therefore referred to as gene carB. In order to check the predicted gene structure, the coding sequence of *Blakeslea trispora* carB was

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generated by means of PCR using *Blakeslea trispora* cDNA as template and the primers Boll1425 5'-AGAGAGGGATCCTTAAATGCGAATATCGTTGC-3' (SEQ ID 56) and Boll1426 5'-AGAGAGGGATCCATGTCTGATCAAAAGAAGCA-3' (SEQ ID 57). The DNA fragment obtained was sequenced. The location of exons and introns was confirmed by comparing the cDNA with the genomic *carB* DNA. Fig. 21 depicts diagrammatically the coding sequence of *carB*. For expression of *carB* in *Escherichia coli*, first the NdeI cleavage site in *carB* was removed by the overlap extension PCR method and an NdeI cleavage site was introduced at the 5' end of the gene and a BamHI cleavage site was introduced at the 3' end. The DNA fragment obtained was ligated with the vector pJOE2702. The plasmid obtained was referred to as pBT4 and cloned together with pCAR-AE into *Escherichia coli* XL1-Blue. Expression was induced with rhamnose. The enzyme activity was detected by way of detecting lycopine synthesis via HPLC. The cloning steps are described below:

PCR 1.1:

Approx. 0.5 µg of *Blakeslea trispora* cDNA, 0.25 µM MAT350 5'-ACTTTATTGGATCCTTAAATGCGAATATCGTTGCTGC-3' (SEQ ID 58), 0.25 µM MAT244 5'-GTTCCAATTGGCCACATGAAGAGT-AAGACAGGAAACAG-3' (SEQ ID 59), 100 µM dNTP, 10 µl of Pfu polymerase buffer (10x), 2.5 U of Pfu polymerase (addition at 85°C, "hot start") and H₂O ad 100 µL.

Temperature profile:

1. 95°C 10 min, 2. 85°C 5 min, 3. 40°C 30 s, 4. 72°C 1 min 30 s, 5. 95°C 30 s, 6. 50°C 30 s, 7. 72°C 1 min 30 s, 8. 95°C 30 s, 9. 72°C 10 min

Cycles: (1-2.) 1x, (3-5.) 5x, (6-8.) 25x, (9.) 1x

PCR 1.2:

Approx. 0.5 µg of *Blakeslea trispora* cDNA, 0.25 µM
MAT243 5'-CCTGTCTTACTCTTCATGTGGCCAATTGGAACCAACAC-3'

5 (SEQ ID 60), 0.25 µM MAT353
5'-CTATTTTAATCATATGTCTGATCAAAAGAAGCATATTG-3' (SEQ ID
61), 100 µM dNTP, 10 µl of Pfu polymerase buffer (10×),
2.5 U of Pfu polymerase (addition at 85°C, "hot start")
and H₂O ad 100 µL.

10 Temperature profile:

1. 95°C 10 min, 2. 85°C 5 min, 3. 40°C 30 s, 4. 72°C 1
min 30 s, 5. 95°C 30 s, 6. 50°C 30 s, 7. 72°C 1 min 30
s, 8. 95°C 30 s, 9. 72°C 10 min

Cycles: (1-2.) 1×, (3-5.) 5×, (6-8.) 25×, (9.) 1×

15

Purification of the PCR fragments from PCR 1.1, 1.2

For this purpose, PCR 2 was carried out to prepare the
coding sequence of *Blakeslea trispora* carB for cloning
into pJOE2702:

20 Approx. 50 ng of PCR 1.1 product and approx. 50 ng of
PCR 1.2 product, with 0.25 µM MAT350
(5'-ACTTTATTGGATCCTTAAATGCGAATATCGTTGCTGC-3' SEQ ID NO
58), 0.25 µM MAT353 (5'-CTATTTTAATCATATGTCTGATC-
AAAAGAAGCATATTG-3' SEQ ID NO 61), 100 µM dNTP, 10 µL of
25 Pfu polymerase buffer (10×), 2.5 U of Pfu polymerase
(addition at 85°C, "hot start") and H₂O ad 100 µL.

Temperature profile:

1. 95°C 10 min, 2. 85°C 5 min, 3. 59°C 30 s, 4. 72°C 2
min, 5. 95°C 30 s, 6. 72°C 10 min

30 Cycles: (1-2.) 1×, (3-5.) 22×, (6.) 1×

Subsequently, the fragment obtained (~ 1.7 kbp) was
purified, followed by ligation into the vector pPCR-
Script-Amp, cloning into *Escherichia coli* XL1-Blue,

sequencing of the insert, cleavage with NdeI and BamHI and ligation into pJOE2702. The plasmid obtained was referred to as pBT4.

5 Characterization and detection of the enzyme activity of CarB (phytoene desaturase)

The gene product derived from carB was referred to as CarB. CarB has the following properties, based on peptide sequence analysis:

10	Length:	582 aminoacyl residues
	Molecular mass:	66470
	Isoelectric point:	6.7
	Catalytic activity:	Phytoene desaturase
	Reactant:	Phytoene
15	Product:	Lycopene
	EC number:	EC 1.14.99-

The enzyme activity was detected in vivo. Transfer of the plasmid (pCAR-AE) into Escherichia coli XL1-Blue produces the strain Escherichia coli XL1-Blue (pCAR-AE). This strain synthesizes phytoene. An additional transfer of the pBT4 plasmid into Escherichia coli XL1-Blue produces the strain Escherichia coli XL1-Blue (pCAR-AE)(pBT4). Since an enzymicly active phytoene desaturase is formed starting from carB, this strain produces lycopene.

The plasmids pCAR-AE and pBT4 were therefore transferred into Escherichia coli. The carotenoids were extracted from the cells grown in liquid culture and characterized (cf. above).

HPLC analysis revealed that the Escherichia coli XL1-Blue (pCAR-AE) strain produces phytoene and the Escherichia coli XL1-Blue (pCAR-AE)(pBT4) strain

produces lycopene. Consequently, CarB has the enzyme activity of a phytoene desaturase.

Preparation of genetically modified *Blakeslea trispora* strains for producing phytoene

The preparation of genetically modified organisms for producing phytoene is described by way of example below.

Vector pBinAHygΔcarB for generating carB⁻ mutants of *Blakeslea trispora*

The vector pBinAHygΔcarB (SEQ. ID. NO:62, Fig. 22) was constructed to delete carB in *Blakeslea trispora*. The precursor of pBinAHygΔcarB is pBinAHyg (SEQ. ID. NO:3, Fig. 2) which was constructed as follows:

The gpdA-hph cassette was isolated as BglII/HindIII fragment from the plasmid pANsCos1 (SEQ. ID. NO:4, Fig. 1, Osiewacz, 1994, Curr. Genet. 26:87-90) and ligated into the BamHI/HindIII-opened binary plasmid pBin19 (Bevan, 1984, Nucleic Acids Res. 12:8711-8721). The vector obtained in this way was referred to as pBinAHyg and comprises the *E. coli* hygromycin resistance gene (hph) under the control of the gpd promoter and the trpC terminator from *Aspergillus nidulans* and the appropriate border sequences required for the *Agrobacterium* DNA transfer.

The carB coding sequence was amplified by means of PCR using the primers MAT350 and MAT353 and the following parameters:

50 ng of pBT4 with 0.25 μM MAT350 (5'-ACTTTATTGGATCCTTAAATGCGAATATCGTTGCTGC-3'; SEQ ID NO 58), 0.25 μM MAT353 (5'-CTATTTTAATCATATGT-CTGATCAAAGAAGCATATTG-3'; SEQ ID NO 61), 100 μM dNTP,

10 µl of Pfu polymerase buffer, 2.5 U of Pfu polymerase (addition at 85°C, "hot start") and H₂O to 100 µl

Temperature profile:

1. 95°C 10 min, 2. 85°C 5 min, 3. 58°C 30s, 4. 72°C 2 min, 5. 95°C 30s, 6. 72°C 10 min.

Cycles: (1.-2.) 1x, (3-5.) 30x, (6.) 1x

The fragment obtained (~ 1.7 kbp) was subsequently purified, followed by cleavage with HindIII, further purification of the 364 bp HindIII fragment carB, followed by cleavage of pBinAHyg with HindIII, ligation of the 364 bp HindIII fragment carB into pBinAHyg, transformation of the vector into *Escherichia coli* and isolation of the construct and referred to as pBinAHygΔcarB, as described above. Alternatively, partial cleavage with HindIII was carried out and a larger carB HindIII fragment was cloned into pBinAHyg to produce pBinAHygΔcarB.

20 **Generation of carB⁻ mutants of *Blakeslea trispora***

The pBinAHygΔcarB plasmid was first transferred into the *Agrobacterium* strain LBA 4404, for example by electroporation (cf. above). The plasmid was subsequently transferred from *Agrobacterium tumefaciens* LBA 4404 in *Blakeslea trispora* ATCC 14272 and in *Blakeslea trispora* ATCC 14271 (cf. above). Successful detection of the gene transfer into *Blakeslea trispora* was carried out via polymerase chain reaction according to the following protocol:

approx. 0.5 µg of DNA from *Blakeslea trispora* ATCC 14272 carB⁻ or ATCC 14271 carB⁻ was reacted with 0.25 µM primer hph forward (5'-CGATGTAGGAGGGCGTGGATA-3'; SEQ ID NO 5), 0.25 µM primer hph reverse (5'-GCTTCTGCGGGCGATTTGTGT-3'; SEQ ID NO 6), 100 µM

dNTP, 10 µL of Herculase polymerase buffer, 2.5 U of Herculase DNA polymerase (addition at 85°C, "hot start") and H₂O to 100 µl.

Temperature profile:

- 5 1. 95°C 10 min, 2. 85°C 5 min, 3. 58°C 1 min, 4. 72°C 1 min, 5. 94°C 1 min, 6. 72°C 10 min.

Cycles: (1.-2.) 1x, (3-5.) 30x, (6.) 1x

10 It was attempted to amplify the Agrobacterium kanamycin resistance gene as a negative control. For this purpose, the following PCR conditions were used:

approx. 0.5 µg of DNA from *Blakeslea trispora* ATCC 14272 *carB*⁻ and ATCC 14271 *carB*⁻ was reacted with 0.25 µM primer nptIII forward (5'-TGAGAATATCACCGGAATTG-
15 3'; SEQ ID NO 7), 0.25 µM primer nptIII reverse (5'-AGCTCGACATACTGTTCTTCC-3'; SEQ ID NO 8), 100 µM dNTP, 10 µL of Herculase polymerase buffer, 2.5 U of Herculase DNA polymerase (addition at 85°C, "hot start") and H₂O to 100 µl.

20 Temperature profile:

1. 95°C 10 min, 2. 85°C 5 min, 3. 58°C 1 min, 4. 72°C 1 min, 5. 94°C 1 min, 6. 72°C 10 min-

Cycles: (1-2.) 1x, (3-5.) 30x, (6.) 1x

25 **Production of carotenoids and carotenoid precursors by *Blakeslea trispora***

The carotenoids zeaxanthin, canthaxanthin, astaxanthin and phytoene were produced by fermenting the corresponding genetically modified *Blakeslea trispora*
30 (+) and (-) strains, detecting the carotenoid produced by means of HPLC analysis and isolating it.

The liquid medium for producing carotenoids comprised, per liter: 19 g of cornflour, 44 g of soybean flour,

0.55 g of KH_2PO_4 , 0.002 g of thiamine hydrochloride, 10% sunflower oil. The pH was adjusted to 7.5 with KOH.

To produce the carotenoids, shaker flasks were
5 inoculated with spore suspensions of (+) and (-)
strains of the *Blakeslea trispora* GMO. The shaker
flasks were incubated at 26°C and 250 rpm for 7 days.
Alternatively, trisporic acids were added to mixtures
of the strains after 4 days, followed by 3 more days of
10 incubation. The final concentration of the trisporic
acids was 300-400 µg/ml.

Extraction and analysis

Extraction:

- 15 1. Removal of 10 ml of culture suspension
2. Centrifugation, 10 min, 5000 × g
3. Discarding of the supernatant
4. Resuspension of the pellet in 1 ml of
tetrahydrofuran (THF) by vortexing
- 20 5. Centrifugation, 5 min, 5000 × g
6. Removal of the THF phase
7. Repetition of steps 4.-6. (2 x)
8. Pooling of the THF phases
9. Centrifugation of the pooled THF phases at
25 20 000 × g for 5 min in order to remove residual
aqueous phase.

Analysis

30 Phytoene measurement by means of HPLC

Column: ZORBAX Eclipse XDB-C8, 5 µm, 150*4.6 mm

Temperature: 40°C

Flow rate: 0.5 ml/min

Injection volume: 10 μ l

Detection: UV 220 nm

Stop time: 12 min

Post run time: 0 min

5 Maximum pressure: 350 bar

Eluent A: 50 mM NaH_2PO_4 , pH 2.5 with
perchloric acid

Eluent B: Acetonitrile

Gradient:

10	Time [min]	A [%]	B [%]	Flow [ml/min]
	0	50	50	0.5
	12	50	50	0.5

Extracts of the fermentation broth were used as matrix.

15 Prior to HPLC, each sample was filtered through a
0.22 μ m filter. The samples were kept cool and
protected from light. In each case 50-1000 mg/l were
weighed and dissolved in THF for calibration. The
standard used was phytoene which has a retention time
20 of 7.7 min under the given conditions.

**Measurement of lycopene, β -carotene, echinenone,
canthaxanthin, cryptoxanthin, zeaxanthin and
astaxanthin by means of HPLC**

25 Column: Nucleosil 100-7 C18, 250*4.0 mm
(Macherey & Nagel)

Temperature: 25°C

Flow rate: 1.3 ml/min

Injection volume: 10 μ l

30 Detection: 450 nm

Stop time: 15 min

Post run time: 2 min

Maximum pressure: 250 bar

Eluent A: 10% acetone, 90% H₂O

Eluent B: Acetone

Gradient:

	Time [min]	A [%]	B [%]	Flow [ml/min]
5	0	30	70	1.3
	10	5	95	1.3
	12	5	95	1.3
	13	30	70	1.3

10 Extracts of the fermentation broth were used as matrix. Prior to HPLC, each sample was filtered through a 0.22 µm filter. The samples were kept cool and protected from light. In each case 10 mg were weighed and dissolved in 100 ml of THF for calibration. The

15 following carotenoids with the following retention times were used as standard: β-carotene (12.5 min), lycopene (11.7 min), echinenone (10.9 min), cryptoxanthin (10.5 min), canthaxanthin (8.7 min), zeaxanthin (7.6 min) and astaxanthin (6.4 min) [see

20 Fig. 23].

Production of zeaxanthin by genetically modified Blakeslea trispora strains

Production of zeaxanthin by genetically modified organisms (GMO) of *Blakeslea trispora* is described by way of example below.

The vector pBinAHygBTpTEF1-HPcrtZ was transferred into *Blakeslea trispora* by *Agrobacterium*-mediated transformation (see above). A hygromycin-resistant clone was isolated and transferred to a potato-glucose agar plate (Merck KGaA, Darmstadt, Germany).

Starting from this plate, a spore suspension was prepared after three days of incubation at 26°C. A 250 ml Erlenmeyer flask without baffles and comprising 50 ml of growth medium (47 g/l cornflour, 23 g/l soybean flour, 0.5 g/l KH_2PO_4 , 2.0 mg/l thiamine-HCl, pH adjusted to 6.2-6.7 with NaOH before sterilization) was inoculated with 1×10^5 spores. This preculture was incubated at 26°C and 250 rpm for 48 hours. For the main culture, a 250 ml Erlenmeyer flask without baffles and comprising 40 ml of production medium was inoculated with 4 ml of the preculture and incubated at 26°C and 150 rpm for 8 days. The production medium comprised 50 g/l glucose, 2 g/l caseine acid hydrolysate, 1 g/l yeast extract, 2 g/l L-asparagine, 1.5 g/l KH_2PO_4 , 0.5 g/l $\text{MgSO}_4 \times 7 \text{ H}_2\text{O}$, 5 mg/l thiamine-HCl, 10 g/l Span20, 1 g/l Tween 80, 20 g/l linoleic acid, 80 g/l corn steep liquor. After 72 hours, kerosene was added at a final concentration of 40 g/l. After harvesting the cultures, the remaining culture volume of approximately 35 ml was increased to 40 ml with water. Subsequently, the cells were disrupted in a high pressure homogenizer, type Micron Lab 40, APV Gaulin, 3 x at 1500 bar.

The suspension comprising the disrupted cells was admixed with 35 ml of THF and incubated with shaking at 250 rpm and RT in the dark for 60 min. Then 2 g of NaCl were added and the mixture was incubated with shaking once more. The extraction mixture was then centrifuged at $5000 \times g$ for 10 min. The colored THF phase was removed and the cell mass was completely colorless.

The THF phase was concentrated to 1 ml in a rotary evaporator at 30 mbar and 30°C and then taken up again in 1 ml of THF. After centrifugation at $20\,000 \times g$ for

5 min, an aliquot of the upper phase was removed and analyzed by HPLC (Fig. 24, Fig. 23).